

Appln. No.: 09/925,072  
IDS filed October 20, 2004



10-22-04

1FW/2137

PATENT  
Customer No. 22,852  
Attorney Docket No. 07451.0003-02  
InterTrust Ref. No. IT-9.2 (US)

**CERTIFICATE OF EXPRESS MAILING**

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Signed: \_\_\_\_\_

*Mary A. McCauley*

Mary A. McCauley

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: \_\_\_\_\_ )

Victor H. Shear, et al. \_\_\_\_\_ )

Application No.: 09/925,072 ✓ \_\_\_\_\_ )

Filed: August 6, 2001 \_\_\_\_\_ )

For: SYSTEMS AND METHODS FOR  
USING CRYPTOGRAPHY TO  
PROTECT SECURE COMPUTING  
ENVIRONMENTS \_\_\_\_\_ )

Group Art Unit: 2137

Examiner: Paul E. Callahan

Confirmation No.: 3373

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. To the best of our knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

As a result of the Microsoft litigation, summaries of reference titles and copies of some of those references were provided to Applicants (See Tab 2, Exhibit A of the Final Notice Regarding Related Litigation submitted to the Office on September 15, 2004, pertaining to InterTrust Technology Corp. v. Microsoft Corp., C 01-1640 SBA, N. D. Ca). The references listed on the attached form PTO-1449 represent all available references,

not yet submitted in this case, of which Applicants are aware. The listed references are provided in electronic format via two (2) CD-ROMs attached hereto.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.


This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:   
Andrew B. Schwaab  
Reg. No. 38,611

Dated: October 20, 2004

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**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Atty. Docket No.: 07451.0003-02000	Application No.: 09/925,072
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**U.S. PATENT DOCUMENTS**

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	3,790,700	2/5/1974	Callais, et al.			
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	3,946,200	3/23/1976	Juodikis			
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	4,162,483	7/24/1979	Entenman			
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	4,321,672	3/23/1982	Braun et al.			
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	4,816,655	3/28/1989	Musyck et al.			
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	4,937,863	6/26/1990	Robert et al.			
	4,941,175	7/10/1990	Enescu et al.			
	4,953,209	8/28/1990	Ryder, Sr. et al.			
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	5,065,429	11/12/1991	Lang			
	5,079,648	1/7/1992	Maufe			

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	5,113,518	5/12/1992	Durst, Jr. et al.			
	5,129,084	7/7/1992	Kelly, Jr. et al.			
	5,138,712	8/11/1992	Corbin			
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	5,191,573	3/2/1993	Hair			
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	5,440,634	8/8/1995	Jones et al.			
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	5,721,788	2/24/1998	Powell et al.			
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	5,745,678	4/28/1998	Herzberg et al.			

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Examiner Initial*	Document Number	Date	Country	Class	Sub Class	Translation Yes or No
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	AU-A-36840/97	2/19/1998	Australia			
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	WO 98/45768	10/15/1998	PCT			
	WO 99/01815	1/20/1999	PCT			
	WO 99/24928	5/23/1999	PCT			
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